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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
03-019

In Re Application Of: **WALKER et al.**

Serial No.
10/791,317

Filing Date
March 2, 2004

Examiner
Not Yet Assigned

Group Art Unit
3713

Title: **METHOD AND APPARATUS FOR DETERMINING AND PRESENTING OUTCOMES AT A GAMING DEVICE**



TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Information Disclosure Statement (5 pp);
PTO Form-1449 (2 pp.) and references cited therein (22); and
Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

50-0271

Dated: **June 14, 2004**

Signature

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CUSTOMER NO. 22927
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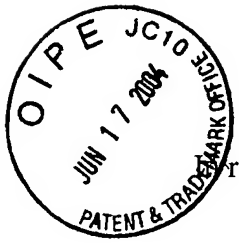
I certify that this document and fee is being deposited on **June 14, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: WALKER et al.

Serial No.: 10/791,317

Filing Date: March 2, 2004

For: METHOD AND APPARATUS FOR
DETERMINING AND PRESENTING
OUTCOMES AT A GAMING DEVICE

) Examiner: Not Yet Assigned

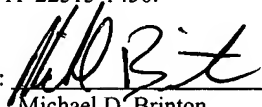
) Group Art Unit: 3713

) Attorney Docket No: 03-019

) **CUSTOMER NO.: 22927**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope with sufficient postage and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 06/14/04 By: 

Michael D. Brinton

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____
_____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.

5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

[] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

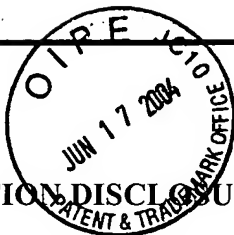
12. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,



June 14, 2004
Date

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INFORMATION DISCLOSURE CITATION

Docket Number

03-019

Serial Number

10/791,317

Applicants

WALKER et al.

Filing Date

March 2, 2004

Group Art Unit

3713

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	A	5,722,891	03/03/98	Inoue	463	20	
	B	5,732,950	03/31/98	Moody	273	292	
	C	5,823,873	10/20/98	Moody	463	13	
	D	5,833,536	11/10/98	Davids et al.	463	11	
	E	5,890,962	04/06/99	Takemoto	463	20	
	F	5,980,384	11/09/99	Barrie	463	16	
	G	6,093,102	07/25/00	Bennett	463	20	
	H	US 6,203,428 B1	03/20/01	Giobbi et al.	463	16	
	I	US 6,220,959 B1	04/24/01	Holmes, Jr. et al.	463	13	
	J	US 6,241,607 B1	06/05/01	Payne et al.	463	20	
	K	US 6,261,178 B1	07/17/01	Bennett	463	20	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

	L	Website: "Action Gaming Inc / Video Poker Design / Architecture of Success / Hundred Play Poker", (http www.actiongaming.com/productdesigns/100play.asp), download date: June 1, 2004.						
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EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION				Docket Number 03-019		Serial Number 10/791,317	
				Applicants WALKER et al.			
				Filing Date March 2, 2004		Group Art Unit 3713	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	M	US 2002/0045474 A1	04/18/02	Singer et al.	463	20	
	N	US 6,375,570 B1	04/23/02	Poole	463	31	
	O	US 6,494,785 B1	12/17/02	Gerrard et al.	463	20	
	P	US 2003/0045345 A1	03/06/03	Berman	463	20	
	Q	US 6,547,242 B1	04/15/03	Sugiyama et al.	273	142 R	
	R	US 6,695,695 B2	02/24/04	Angel	463	13	
	S	US 6,709,331 B2	03/23/04	Berman	463	16	

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No

OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>		
	T	Website: "Frommers com : Destinations : Las Vegas : Slots", (http //www frommers com/destinations/print_narrative cfm?destID=13&catID=0013030126), download date: June 2, 2004.
	U	Website: "Action Gaming Inc / Video Poker Design / Architecture of Success / Matrix Poker", (http // www actiongaming com/productdesigns/matrix_poker asp), download date: June 3, 2004.
	V	Website: "WagerWorks Software Review – The Wizard of Odds", (http //wizardofodds com/software/ReviewWagerworks html), download date: June 3, 2004.

EXAMINER	DATE CONSIDERED:
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